

UNITED ST S DEPARTMENT OF COMMERCE Patent and Trademark Office Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

APPLICATION NUMBER FILING DATE FIRST NAMED APPLICANT ATTY, DOCKET NO. UB/430,055 04, 27,195 EATON P0871P2D2 EXAMINER 1801/0465 DARYL B WINTER GENERITECH INC ART UNIT 460 FOINT SAN BRUNG BOULEVARD SOUTH SAN FRANCISCO CA 94080-4990 1816 DATE MAILED: 04/15/97

This is a communication from the examiner in charge of your application. COMMISSIONER OF PATENTS AND TRADEMARKS

| | OFFICE ACTION SUMMARY | • | |
|---|--|--------------------------------------|-----|
| | Responsive to communication(s) filed on 2/7/97 | | |
| | This action is FINAL. | | |
| | | 3. | |
| | Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 D.C. 11, 453 O.G. 213. | | |
| | A shortened statutory period for response to this action is set to expire month(s), or thirty days, | | |
| | whichever is longer, from the mailing date of this communication. Failure to respond within the period for rest the application to become abandoned. (35 U.S.C. § 133). Extensions of time may be obtained under the product of the pro | manaattl | |
| - | Disposition of Claims | | |
| | the 9-10 | | , |
| | Claim(s)is/are p Of the above, claim(s)is/are with | ending in the application | |
| ٠ | Claim(s) | is/are withdrawn from consideration. | |
| • | 7 - 1 | is/are allowed. | * |
| | Claim(s) Claim(s) | is/are rejected. | |
| | Claim(s)are subject to restriction | is/are objected to. | |
| | Application Papers | o clectivii iedanemen | . = |
| | Application Papers | • | |
| | See the attached Notice of Draftsperson's Patent Drawing Review, PTO-948. | | |
| į | The drawing(s) filed on is/are objected to by the Examin | ier. | |
| | ☐ The proposed drawing correction, filed on | | :: |
| 1 | The specification is objected to by the Examiner. | | |
| ' | The oath or declaration is objected to by the Examiner. | | 1 |
| 1 | Priority under 35 U.S.C. § 119 | | |
| [| Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d). | | |
| | ☐ All ☐ Some* ☐ None of the CERTIFIED copies of the priority documents have been | | |
| | received. | | |
| | received in Application No. (Series Code/Serial Number) | | 2 4 |
| | received in this national stage application from the International Bureau (PCT Rule 17.2(a)). | | |
| | *Certified copies not received: | , · | |
| C | Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e). | • | |
| | Attachment(s) | | - (|
| E | Notice of Reference Cited, PTO-892 | | |
| | Information Disclosure Statement(s), PTO-1449, Paper No(s). | | |
| | Interview Summary, PTO-413 | | |
| | Notice of Draftperson's Patent Drawing Review, PTO-948 | | |
| | Notice of Informal Patent Application, PTO-152 | | |
| | -SEE OFFICE ACTION ON THE FOLLOWING PAGES | | |
| | | | |

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- 15. Applicant's election of claims 9-10 in Paper No. 10, filed 5/13/96 is acknowledged. Because applicant did not distinctly and specifically point out the supposed errors in the restriction requirement, the election has been treated as an election without traverse (M.P.E.P. § 818.03(a)).
- 16. Applicant is reminded that the photographs are not acceptable until a petition is granted, see box 2 of the PTO 948 form, attached to Paper No. 4. Applicant is reminded that if color photographs are included in this application, the specification must be amended, at the first paragraph in the part of the specification relating to the brief description of the drawings, to include the following statement "[the file of this patent contains at least one drawing executed in color. Copies of this patent with color drawing(s) will be provided by the Patent and Trademark Office upon request and payment of the necessary fee."

17. 35 U.S.C. § 101 reads as follows:

"Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter or any new and useful improvement thereof, may obtain a patent therefore, subject to the conditions and requirements of this title".

Claims 9-10 are provisionally rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claim 9-10 of copending application Serial No. 08/430,010, claims 20-21 of application 08/433,767, and claims 6-7 of copending application 08/429,765. Although the conflicting claims are not identical, they are not patentably distinct from each other because the recited claims of this invention are an species within the broader genus of the claims found in the '010 application. This is a *provisional* obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

The obviousness-type double patenting rejection is a judicially established doctrine based upon public policy and is primarily intended to prevent prolongation of the patent term by prohibiting claims in a second patent not patentably distinct from claims in a first patent. *In re Vogel*, 164 USPQ 619 (CCPA 1970). A timely filed terminal disclaimer in compliance with 37 C.F.R. § 1.321(b) would overcome an actual or provisional rejection on this ground provided the conflicting application or patent is shown to be commonly owned with this application. See 37 C.F.R. § 1.78(d).

18. Claims 9-10 are provisionally rejected under 35 U.S.C. § 101 as claiming

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the same invention as that of claims 13-14 of copending application Serial No. 08/422,548. This is a *provisional* double patenting rejection since the conflicting claims have not in fact been patented.

- 19. Applicants' comments are noted with respect to the double patenting rejections. Applicant is required to list all pending applications which contain claims directed to antibodies specific for the mpl ligand and to either provide terminal disclaimers over such applications or cancel claims directed to antibodies which specifically bind to the mpl ligand.
- 20. No claim is allowed. THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 C.F.R. § 1.136(a).

A SHORTENED STATUTORY PERIOD FOR RESPONSE TO THIS FINAL ACTION IS SET TO EXPIRE THREE MONTHS FROM THE DATE OF THIS ACTION. IN THE EVENT A FIRST RESPONSE IS FILED WITHIN TWO MONTHS OF THE MAILING DATE OF THIS FINAL ACTION AND THE ADVISORY ACTION IS NOT MAILED UNTIL AFTER THE END OF THE THREE-MONTH SHORTENED STATUTORY PERIOD, THEN THE SHORTENED STATUTORY PERIOD WILL EXPIRE ON THE DATE THE ADVISORY ACTION IS MAILED, AND ANY EXTENSION FEE PURSUANT TO 37 C.F.R. § 1.136(a) WILL BE CALCULATED FROM THE MAILING DATE OF THE ADVISORY ACTION. IN NO EVENT WILL THE STATUTORY PERIOD FOR RESPONSE EXPIRE LATER THAN SIX MONTHS FROM THE DATE OF THIS FINAL ACTION.

This application may be subject to the provisions of Public Law 103-465, effective June 8, 1995. Accordingly, if this application has been pending for at least two years as of June 8, 1995, taking into account any reference to an earlier filed application under 35 U.S.C. 120, 121 or 365(c), applicant, under 37 CFR 1.129(a), is entitled to have a first submission entered and considered on the merits if, prior to abandonment, the submission and the fee set forth in 37 CFR 1.17(r) are filed prior to the filing of an appeal brief under 37 CFR 1.192.

THIS APPLICATION IS NOT SUBJECT TO PUBLIC LAW 103-465.

21. Papers related to this application may be submitted to Group 180 by facsimile transmission. Papers should be faxed to Group 180 via the PTO Fax Center located in Crystal Mall 1. The faxing of such papers must conform with the notice published in the Official Gazette, 1096 OG 30 (November 15, 1989). The CM1 Fax Center telephone number is (703)

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305-7401.

22. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Christopher Eisenschenk whose telephone number is (703) 308-0452. The examiner can normally be reached Monday through Thursday from 6:30 am to 5:00 pm. A message may be left on the examiner's voice mail service. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Christina Chan can be reached on (703) 308-3973. The fax phone number for Group 180 is (703) 305-3014 or (703) 305-7401. Any inquiry of a general nature or relating to the status of this application should be directed to the Group 180 receptionist whose telephone number is (703) 308-0196.

April 14, 1997

Christopher Eisenschenk, Ph.D.

Primary Examiner

Group 1800